

Rec'd PCT/PTO 17 NOV 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/506,955

Filed: 09/08/2004

1<sup>st</sup> Inventor: Hiroyuki Tawada

For: Process for Preparing Optically Active Sulfoxide Derivative

Atty. Dkt. No. 3034 USOP

Art Unit: tba

Examiner: tba

Allowed:

Batch:

Paper No.:

#4

Information Disclosure Statement

MAIL STOP PCT

P.O. Box 1450

Commissioner for Patents

Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, Applicants request consideration of the references listed on the attached Forms PTO/SB/08A and 08B. A legible copy of each listed reference is herewith being provided to the Examiner on a CD-Rom.

This Information Disclosure Statement is being submitted within 3 months of the filing date of the above-identified application, and before the mailing date of the first Office Action on the merits, thus, no certification or fee is required.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of the attached form PTO/SB/08A be returned in accordance with MPEP §609.

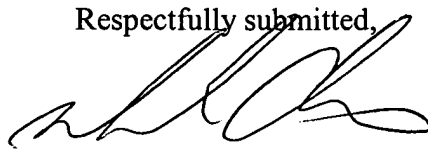
Should the Examiner believe that a conference with Applicants' attorney would advance prosecution of this application, the Examiner is respectfully requested to call Applicants' attorney.

Dated:

(847) 383-3372

(847) 383-3391

Respectfully submitted,



Mark Chao, Ph.D., Reg. No. 37,293

Elaine M. Ramesh, Ph.D., Reg. No. 43,032

Attorney for Applicants

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**Rec'd PCT/PTO 17 NOV 2004**

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/506,955
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Filing Date	09/08/2004
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First Named Inventor	H. Tawada
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Examiner Name	tba
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Attorney Docket Number	3034 US0P
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Sheet	1	of	3
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	A2	WO 96/01267	01/18/1996	Takeda Chemical Industries		
	A3	WO 99/32468	07/01/1999	Takeda Chemical Ind.		
	A4	WO 97/39002	10/23/1997	Takeda Chemical Ind.		
	A5	WO 00/10965	03/02/2000	Takeda Chemical Ind.		
	A6	WO 00/37455	06/29/2000	Takeda Chemical Ind.		
	A7	EP 1186604 A1	03/13/2002	Takeda Chemical Ind.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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Sheet	2	of	3
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	A8	GB 1579270	11/19/1980	Hoechst Aktiengesellschaft		
	A9	JP62-265270-Abstract	11/18/1987	Zeria Shinyaku Kogyo KK		
	A10	WO 00/06085	02/10/2000	SmithKline Beecham		
	A11	WO 00/46203	08/10/2000	Millennium Pharm.		

Examiner Signature		Date Considered	
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		Examiner Name	tba		
Sheet	3	of	3	Attorney Docket Number	3034 USOP

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A12	MASANORI BABA, et al., "A Small-Molecule, Nonpeptide CCR5 Antagonist with Highly Potent and Selective Anti-HIV-1 Activity", Proc. Natl. Acad. Sci USA, (1999), pp. 5698-5703, Vol. 96	

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